



Business Continuity Plan for Limmat Capital Alternative Investments AG

Limmat Capital Alternative Investments AG ("Limmat Capital" or the "Firm") has developed a Business Continuity Plan outlining how we will respond to events that significantly disrupt our business. Since the timing and impact of disasters and disruptions is unpredictable, we will have to be flexible in responding to actual events as they occur. With that in mind, we are providing you with this information on our business continuity plan.

Contacting us

If, after a significant business disruption, you cannot contact us through normal channels, you should contact our Disaster Recovery Officer, at rr@limmatcapital.ch or limmat.dro@gmail.com.

Our business continuity plan

Our Firm's policy is to respond to a Significant Business Disruption ("SBD") by safeguarding employees' lives and Firm property, making a financial and operational assessment, quickly recovering and resuming operations, protecting all of the Firm's books and records, and allowing our clients to invest in the products offered by the Firm. In the event that we determine we are unable to continue our business, we will ensure that customers have the appropriate information to contact Limmat Capital in order to obtain information regarding their funds and securities.

Our business continuity plan addresses: data back-up and recovery for all mission critical systems; financial and operational assessments; alternative communications with customers, employees, and regulators; alternate physical location of employees; regulatory reporting; and assuring our customers and counterparties prompt communication regarding our alternate operations.

Varying disruptions

Significant business disruptions can vary in their scope. The severity of the disruption can also vary from minimal to severe. If a disruption impacts our ability to access our office facilities, though all systems may be functioning, remote access to these facilities will be provided over the internet. If a disruption also renders the systems unusable, business continuity facilities have been pre-arranged and will be accessible to our staff to resume operations from an alternate site. In the former scenario, we expect recovery and resumption of operations within hours; in the latter scenario recovery and resumption is expected within 48 hours.

We plan to continue operations and to communicate to you the method by which to contact us via email and our website.

For more information – If you have questions about our business continuity plan, you can contact us at +41 44 204 1919 or at rr@limmatcapital.ch or limmat.dro@gmail.com.